COMPARATIVE COMPLIANCE INFORMATION

CONSENT DECREE REQUIREMENT	ADAPT DATA DR. SHAENING (Defs' Expert) (Source: Defs' Exhibit AAA-1 (A0916))	ADAPT DATA DR. FAICH (Compliance Monitor) (Source: Pls' Exhibit 68 (A0694))	Q.A. DATA DR SHAENING (Source: Defs' Exhibit EEE (A1098))	Q.A. DATA DR. STEIN (Pls' Expert) (Source: Pls' Exhibit 72 (A0728))	RECORD REVIEWS DR. FAICH (Source: Pls' Exhibit 67 (A0670))	RECORD REVIEWS DR. STEIN (Source: Pls' Exhibit 71 (A0720))	TESTIMONY	OTHER	SPECIAL MASTER'S REPORT
A. Adoptions 1. Provision of info to Dept. Atty within 45 days of establishing plan for adoption - timeliness (III K at 8-9) (A0028-29)	1. 26% timely* 66% late* 8% not referred at all* (Not solely from ADAPT: an ADAPT report must be compared to forms filled out by Legal Dept.)		1. 39% timely*	1. 60%*	1st Univ. 5th Univ. 1. 13%* 1. 26%* 2nd Univ. 1. 51%*			1. Defs' Exh. CCC at 4,8 (endnote 16)(A1087): 26% timely	compliance (at 9)
2. Worker contact with atty within 15 days after submitting info (III K 2) (A0029)	2. 74%-100%*			2. 41%*	2nd Univ. 2. 44%*				compliance (at 9)
3. Preparation of timetable (III K 2) (A0029)	3. 54%-85%								
4. Notification to CAU (III L) (A0030)	4. Actual referral not logged on ADAPT but monthly ADAPT report to CAU						Mr. Barrett (head of CAU): CAU receives notice from ADAPT reports, form 351 and SW consultant's reports* (1993 Report, p. 30)		compliance (at 9)
5. CAU begins adoption matching process upon notification (III L) (A0030)	5. CAU receives monthly ADAPT report; does not begin matching at that time							5. 0%* (Defs' Ex. AAA-1) (A0916) (Source: Atty records)	Non-compliance (at 9)
6. Once child freed for adoption, file to CAL within 5 days a. Timeliness (IV A at 11) (A0031)	6. a. 53%-86%*				6. a. 50%*				compliance (at 9)

*Items ollwed by (*) are those which are cited by the Special Master in his Report of 4/30/93

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7. Atty filing TPR within 30 days after request for TPR (VI B at 14) (A0034)								7. 50-57% (Defs' Ex. AAA-1) (A0916) (From ADAPT & Legal Dept. records)	Non-compliance (at 12)
8. Files of eligible adoptive families to adoption caseworkers a. Timeliness b. Approved families evaluated (with documentation) within 10 days (IV C) (A0031)	8. a. almost 100%* b. 88%-100% (except one quarter, 67%)				8. 2nd Univ: a. 55%*				compliance (at 10)
9. Home studies - Adoptive families a. Timeliness b. Justification when late (V A-D) (A0032-33)	9 a. 70%-100%* b. 100%*				9 2nd Univ: 3rd Univ a. 54.5% a. 35.7%				compliance (at 10)
B. Computer Information System with 20 Specified Elements (VII A) (A0035-36)	B. Complies								compliance (at 13)
C. Integrated System for All Compliance Information (Second Stipulated Order at 4-5) (VII C) (A0036)	C. ADAPT and legal records						C. Dr. Shaening (tr. at 206-210): Many Decree reqmts not measured by ADAPT-only by QA-QA not conducted on ongoing basis. Defs' Panel (tr. at 346-48, 355-61, 1437, 1439-40, 280-81, 222): Legal steps to TPR and free children for adoption kept on separate computer and cannot be integrated with ADAPT.		compliance (at 13)

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D Reliability of ADAPT							Pls' expert (tr at 653-659): unreliable Defs' expert: reliable Contractor responsible for administering C R B (tr at 204-206, 1126-28, 1131-32): unreliable Compliance monitor (tr at 879-884, 965): unreliable		Makes No Determination
E General File Review 1 File contains assessment plan 2 Assessment plan contains req'd elements 3 File contains treatment plan 4 Treatment plan contains req'd elements (III C-D) (A0025-26)				E 1 63% 2 16% 3 98% 4 19%				E 4 Defs' Exh FFF (A1112): 31%-97%	
F Supervisor Training (I B) (A0022)							Dr Shaening: (tr at 132-133): complies	Defs' Exh CCC at 1,7 (endnote 2) (A1087) 33%	compliance (at 7)
G Supervisor:Worker Ratio at 1:6 (II B) (A0024)	G 65.1%-83.7%								compliance (at 7)
H Staff Qualifications (VIII A) (A0037)							Defs' misinterpret decree and consider people who do not meet reqmts to be caseworkers (see testimony of Def witness DHS employee Angela Adams, tr at 75-79, 494)		compliance (at 9)
I Length of time children remain in foster care							Dr Stein: up from 1 5 yrs in 1980 to 2 1 yrs in 1990		compliance (at 9)

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J. Caseload Limit 1. 20 families per worker 2. 35 children per worker (II A at 3-4) (A0023-24)	J. 1. 71%-81%* 2. 100%*	J. 1. 75.2%*					J. 2. Dr. Shaening: Defs cannot accurately measure 35 child limit because no weighting system devised; 1991 Trial Tr. at 138-40 (A___)		compliance (at 7)
K. Planning Events 1. 9-Day Conference a. Timely Occurrence b. Required Elements (III A) (A0025)	K. 1. a. 94%-98%* b. Not measured on ADAPT*		K. 1. a. 92.8% (4.3% late; 2.9% not held)		1st Univ: 5th Univ: K. 1. a. 86.8%* a. 73% b. 86.8% b. 72.4%				compliance (at 7) (cites Dr. Shaening's QA as showing low rates of perf. re: req'd elements)
2. 30-Day Conference a. Timely Occurrence b. Required Elements (III B-D) (A0025-26)	2. a. 84%-94%* b. Not measured on ADAPT*		2. a. 89.7% (7.7% late; 2.6% not held) b.(1) 63.2% had written assessment plan (2) 64.4% had visitation schedule	2. a. 12.7%*	1st Univ: 5th Univ: 2. a. 76% a. 59% b. 37.2%* b. 25.9%			2. a. Defs' Ex. FFF (A1112): 100% b. Defs' Ex. FFF (A1112): 100% b. Pls' Ex. 74(a) (Chart) (A0751)	compliance (at 7-8)
3. 120-Day Conference a. Timely Occurrence b. Required Elements (III D) (A0026)	3. a. 84%-99%* b. Not measured on ADAPT*		3. a. 74.2% (from ADAPT) 85.6% (other) b.(1) treatment plans written and submitted to court: 84.6% (2) documented plan in file: 62.9% (3) for cases with plans, 87%-97% contain required elements (4) visitation schedules: 61.5%	3. a. 15.5%*	1st Univ: 5th Univ: 3. a. 84% a. 73% b. 52.6%* b. 50%			b. Pls' Ex. 74(b)(Chart) (A0753)	compliance (at 8)

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4. Children with Return Home Plans after 18 Months a. Justifications in file (III (I)) (A0028)	4. 9%-13%* a. Not measured on ADAPT		4. a. 70.8% are justified (doesn't say whether justification is in file)	4. 53%	4. a. 14%*	4. a. 53%*		4. a. Kaletja (social work consultant for DHS) (Defs' Ex. FFF at 3(A1112); 69% had justifications, but only 44% of those were adequate; 30% total adequate justifications*	compliance (at 8)
5. Return Home Plans Despite No Contact with Parents for 6 Mos a. Req'd Justifications in File (III (I)) (A0028)					5. 81.8% a. 0% (from 5th Universe)				not addressed
6. Dispositional Conferences a. Occurrence b. Parental attendance (III E-F) (A0026-27)	6. a. 83%-91%* b. 76%-91%*		6. a. 75.3% b. 50%						compliance (at 8)
L. Reviews 1. Referral for Judicial Review a. Occurrence of Referral b. Timeliness of Reviews (III C) (A0025-26)	L. 1. a. Not measured on ADAPT* b. 80%-90%*		L.* 1. a. 85.2% b. 53.9% No referral made: 11.1% No evidence of referral made: 25%						compliance (at 8)
2. Referral for C.R.B. Review a. Occurrence b. Timeliness (III C) and (IX B) (IX (4)) (A0025-26; A0038-39)	2. a. Not measured on ADAPT but inference from ADAPT suggests 81%-99%*		2.* a. 85.2% b. within 3 mos. of judicial reviews: 79%; one or more reviews late or missed: 60%						compliance (at 14)
3. Internal Reviews (III C) (A0025-26)			3. 55.3% (no internal reviews at all: 27.2%)	3. 50%*					compliance (at 8)